



Quest IRA, Inc.

# Transfer Form

Quest IRA, Inc.  
17171 Park Row, Suite 100  
Houston, TX 77084  
P: 800.320.5950  
F: 281.646.9701  
Transfers@QuestIRA.com

## A. ACCOUNT HOLDER INFORMATION

Account Holders Name:		Quest IRA, Inc. Account Number:	
Address:			
City:	State:	Zip Code:	Date of Birth:
Telephone Number:		Social Security Number:	

## B. CURRENT CUSTODIAN PLAN INFORMATION

Name of Current Custodian:		Account Number:	
Address:			
City:	State:	Zip Code:	
Telephone Number:		Current Statement is attached:	
		Yes	No

## C. TYPE OF ACCOUNT TO BE TRANSFERRED/ELIGIBILITY

1. I am transferring **FROM** the following type of plan: (check one box)

<input type="checkbox"/> Traditional IRA	<input type="checkbox"/> Roth IRA	<input type="checkbox"/> SEP IRA	<input type="checkbox"/> Simple IRA	<input type="checkbox"/> Beneficiary IRA	<input type="checkbox"/> HSA	<input type="checkbox"/> ESA
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2. I am transferring **TO** the following type of plan: (check one box)

<input type="checkbox"/> Traditional IRA	<input type="checkbox"/> Roth IRA	<input type="checkbox"/> SEP IRA	<input type="checkbox"/> Simple IRA	<input type="checkbox"/> Beneficiary IRA	<input type="checkbox"/> HSA	<input type="checkbox"/> ESA
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Note: Transfers can only occur between like accounts. If you would like to convert your IRA, please complete a separate Rollover Form.

**\*\*If this account type is a Beneficiary IRA, please provide the following:**

Name of Deceased: \_\_\_\_\_

Type of Beneficiary IRA:                      Traditional IRA                      Roth IRA

3. I am an eligible person to perform this transaction: (check one box)

<input type="checkbox"/> Account Owner	<input type="checkbox"/> Responsible Individual (ESA)	<input type="checkbox"/> Death Beneficiary
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## D. TYPE OF TRANSFER

If you wish to transfer any cash as a part of your transfer to Quest IRA, Inc., ensure that the liquidation process is completed PRIOR to completing this form. Otherwise, the transfer of your funds may be delayed. Please be aware that penalties may be incurred if time deposits are liquidated prior to their maturity.

Please select either (1) a complete transfer or (2) a partial transfer: (check one box)

**COMPLETE TRANSFER:** Please indicate what you would like transferred by selecting cash OR in-kind transfer. If you need to liquidate investments, please contact your current custodian prior to submitting this completed form. Quest IRA, Inc. is not authorized to request liquidation of assets or investments on your behalf.

CASH: Please send all Cash

IN-KIND: Please see Section E below if you are transferring assets in-kind.

**PARTIAL TRANSFER:** Please indicate what you would like transferred by selecting cash OR in-kind transfer. If you need to liquidate investments, please contact your current custodian prior to submitting this completed form. Quest IRA, Inc. is not authorized to request liquidation of assets or investments on your behalf.

CASH - Please send:

all available cash or  specific amount \$ \_\_\_\_\_

IN-KIND: Please see Section E below if you are transferring assets in-kind.

## E. ASSET DESCRIPTION

Please complete Section E if you are transferring assets in-kind. Transferring assets "in-kind" refers to the process of reregistering an asset with the proper vesting for your Quest IRA account.


## F. DELIVERY INSTRUCTIONS

1. How would you like this form sent to your current Custodian? \*Please keep in mind; if this field is left blank, "Priority Mail" is the automatic default selection.

Priority Mail (free)

Overnight Mail (\$35 fee\*)

Fax to number Quest IRA, Inc. has on file (If applicable)

Fax to: \_\_\_\_\_

If you have selected overnight mail, how would you like to pay for the overnight fee? \*Please keep in mind; if this field is left blank, "My Account" is the automatic default selection.

My Account

Credit Card on file

Check Enclosed

Credit Card Authorization Form attached

2. How would you like your current custodian to send the cash to Quest IRA, Inc.? (check one box) \*Please keep in mind; if this field is left blank, "Check" is the automatic default selection.

WIRE: See attached wire instructions provided by Quest

ACH: See attached ACH instructions provided by Quest

CHECK: Make checks payable to: **Quest IRA, Inc. FBO [Account Holder's Name] [Account Number]**

Please mail to: **Quest IRA, Inc.**

**17171 Park Row, Suite 100**

**Houston, TX 77084**

\*Quest IRA requires 5 business days for checks to clear. Bank wires clear after 24 hours. Fees may apply from your current custodian.



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**AGE 70 ½ REMINDER (PLEASE NOTE, IF TRANSFERING FROM A TRADITIONAL, SEP, OR SIMPLE IRA)**

I understand that if this transfer is occurring during or after the calendar year during which I attain the age of 70 ½, the required minimum amount determined under the IRA being transferred is still required to be distributed.

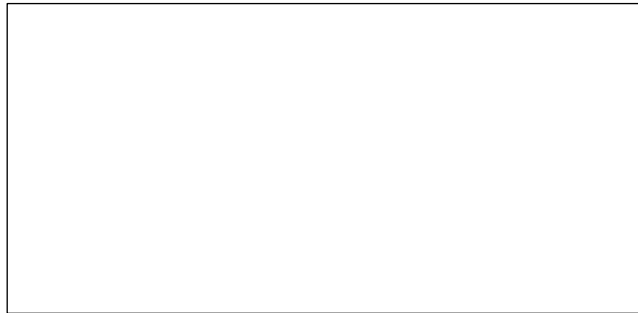
I further understand that the current custodian is not responsible for making this distribution prior to the transfer. I accept full responsibility for satisfying the required minimum distribution applicable to the IRA being transferred by withdrawing sufficient amounts from my Quest IRA or from another IRA prior to the deadline for receiving minimum distributions for the calendar year of the transfer.

If this transfer leaves my current custodian in one year but does not reach Quest IRA, Inc. until the following year, I understand that this will be an "outstanding transfer" as of December 31st. My Quest IRA must "deem" that the transfer was received as of the prior December 31st for determining any required minimum distribution from the Quest IRA for the year that the transfer was received. I will inform Quest IRA, Inc. of any such outstanding transfer.

**G. SIGNATURE**

I hereby authorize this transfer and instruct my current custodian to transfer the assets in my account as indicated above.

Client Signature \_\_\_\_\_ Date \_\_\_\_\_



**Medallion Signature Guarantee**

NOTE: A Medallion Signature Guarantee may be obtained from your bank. Quest IRA, Inc. does not provide Medallion Signature Guarantee Stamps. If your current custodian does not require a Medallion Signature Guarantee, you can simply sign and date this form.

**H. ACCEPTANCE (FOR OFFICE USE ONLY)**

Mainstar Trust agrees to serve as custodian for the account of the above named individual, and as custodian, Mainstar agrees to accept the assets of the account being transferred. Quest IRA Inc. is executing this Transfer Form and accepting the assets as the agent and nominee of Mainstar Trust pursuant to a written delegation of authority.

Authorized Signature \_\_\_\_\_ Date \_\_\_\_\_