



# Transfer Form

## INSTRUCTIONS

- If you have not established an account with Quest IRA, Inc. please contact our office.
- If you are transferring from a brokerage IRA, you will need to liquidate the appropriate assets prior to submitting this transfer request.
- A separate Transfer Form will need to be completed for each account being transferred to Quest IRA, Inc.
- Contact your current Custodian to confirm if a Medallion Signature guarantee is needed. Check with your local bank or broker/dealer to see if they offer this service. **Note: Quest IRA, Inc. cannot provide this stamp.**
- Contact your current Custodian to confirm if they will accept faxed copies of your transfer request.

## A. ACCOUNT HOLDER INFORMATION

Account Holder's Name:	Quest IRA, Inc. Account Number:
Date of Birth:	Social Security Number:

## B. CURRENT CUSTODIAN PLAN INFORMATION

Please attach a copy of a recent statement from your current custodian.

Name of Current Custodian:	Account Number:	
Address:		
City:	State:	Zip Code:
Telephone Number:	Fax:	

## C. TYPE OF ACCOUNT TO BE TRANSFERRED/ELIGIBILITY

1. I am transferring **FROM** the following type of plan: (check one box)

Traditional IRA    
  Roth IRA    
  SEP IRA    
  Simple IRA    
  Beneficiary IRA    
  HSA    
  ESA

2. I am transferring **TO** the following type of plan: (check one box)

Traditional IRA    
  Roth IRA    
  SEP IRA    
  Simple IRA    
  Beneficiary IRA    
  HSA    
  ESA

Note: Transfers can only occur between like accounts. If you would like to convert your IRA, please complete a separate Rollover Form.

3. I am an eligible person to perform this transaction: (check one box)

Account Owner    
  Responsible Individual (ESA)    
  Death Beneficiary

## D. PROCESSING INSTRUCTIONS

1. How would you like this form sent to your current Custodian? *Contact your current custodian to confirm the address where paperwork should be sent and/or if faxed copies are acceptable. If this section is left blank, the default selection will be priority mail.*

Priority Mail (free)    
  Overnight Mail (\$35 fee\*)    
  Fax to number Quest IRA has on file (if applicable)

Fax to: \_\_\_\_\_

If you have selected overnight mail, how would you like to pay for the overnight fee? Please keep in mind, *if payment method is not selected, any incurred fees will be deducted from the account.*

My Account    
  Credit Card on file    
  Check Enclosed



Quest IRA, Inc.

# Transfer Form

Quest IRA, Inc.  
17171 Park Row, Suite 100  
Houston, TX 77084  
P: 800.320.5950  
F: 281.646.9701  
Transfers@QuestIRA.com

## E. TYPE OF TRANSFER

If you wish to transfer any cash as a part of your transfer to Quest IRA, Inc., ensure that the liquidation process is completed prior to completing this form. Otherwise, the transfer of your funds may be delayed. Please be aware that penalties may be incurred if time deposits are liquidated prior to their maturity date.

Please select either (1) a complete transfer or (2) a partial transfer: (check one box)

### COMPLETE TRANSFER:

A complete transfer will move all cash and assets to Quest, and close your current account.

Please complete Section F below if you are transferring assets in-kind.

### PARTIAL TRANSFER:

CASH - Please send:

all available cash or

specific amount \$ \_\_\_\_\_

IN-KIND: Please see Section F below if you are transferring assets in-kind.

## F. ASSET DESCRIPTION

Please complete this below if you are transferring assets in-kind. Transferring assets "in-kind" refers to the process of reregistering an asset with the proper vesting for your Quest IRA account.


## G. DELIVERY INSTRUCTIONS

How would you like your current custodian to send the cash to Quest IRA, Inc.?

\*Please keep in mind, if this field is left blank, "Regular Mail/ Check" is the automatic default selection.

Funds available within 24 hours of receipt.

WIRE

ACH

### Wire/ ACH to:

Icon Bank  
7906 N. Sam Houston Pkwy W, Ste 100  
Houston, TX 77064  
Routing Number: 113025422

### For Credit to:

Quest IRA, Inc.  
17171 Park Row, Suite 100  
Houston, TX 77084  
Account Number: 87189

### For Further Credit to:

Account Holder Name  
Account Number

Funds available after 5 business day hold on checks.

Regular Mail/ Check

Overnight Mail/ Check

Make checks payable to: Quest IRA, Inc. FBO [Account Holder's Name] [Account Number]

Please mail to: Quest IRA, Inc. 17171 Park Row, Suite 100  
Houston, TX 77084

## AGE 70 ½ REMINDER (PLEASE NOTE, IF TRANSFERING FROM A TRADITIONAL, SEP, OR SIMPLE IRA)

I understand that if this transfer is occurring during or after the calendar year during which I attain the age of 70 ½, the required minimum amount determined under the IRA being transferred is still required to be distributed.

I further understand that the current custodian is not responsible for making this distribution prior to the transfer. I accept full responsibility for satisfying the required minimum distribution applicable to the IRA being transferred by withdrawing sufficient amounts from my Quest IRA or from another IRA prior to the deadline for receiving minimum distributions for the calendar year of the transfer.

If this transfer leaves my current custodian in one year but does not reach Quest IRA, Inc. until the following year, I understand that this will be an "outstanding transfer" as of December 31st. My Quest IRA must "deem" that the transfer was received as of the prior December 31st for determining any required minimum distribution from the Quest IRA for the year that the transfer was received. I will inform Quest IRA, Inc. of any such outstanding transfer.



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## H. SIGNATURE

I hereby authorize this transfer and instruct my current custodian to transfer the assets in my account as indicated above.

Client Signature: \_\_\_\_\_ Date: \_\_\_\_\_



Medallion Signature Guarantee  
(if required by your current custodian)

## I. LETTER OF ACCEPTANCE BY QUEST IRA (office use only)

Mainstar Trust agrees to serve as custodian for the account of the above named individual, and as custodian, Mainstar agrees to accept the assets of the account being transferred. Quest IRA, Inc. is executing this Transfer Form and accepting the assets as the agent and nominee of Mainstar Trust pursuant to a written delegation of authority.

Quest IRA Acceptance Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Title: \_\_\_\_\_